



**AFRL-ML-WP-TP-2007-523**

**SELF-PUMPED PHOTOREFRACTIVE GRATINGS IN  
Fe:KNbO<sub>3</sub> (PREPRINT)**

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**Hardened Materials Branch  
Survivability and Sensor Materials Division**

**JANUARY 2006**

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<b>1. REPORT DATE (DD-MM-YY)</b> January 2006		<b>2. REPORT TYPE</b> Conference Paper Preprint		<b>3. DATES COVERED (From - To)</b>	
<b>4. TITLE AND SUBTITLE</b> SELF-PUMPED PHOTOREFRACTIVE GRATINGS IN Fe:KNbO <sub>3</sub> (PREPRINT)				<b>5a. CONTRACT NUMBER</b> In-house	
				<b>5b. GRANT NUMBER</b>	
				<b>5c. PROGRAM ELEMENT NUMBER</b> 62102F	
<b>6. AUTHOR(S)</b> Dean R. Evans (AFRL/MLPJ) G. Cook (Universal Technology Corporation) M.A. Saleh (UES, Inc.) J.L. Carns (General Dynamics Information Technology, Inc.)				<b>5d. PROJECT NUMBER</b> 4348	
				<b>5e. TASK NUMBER</b> RG	
				<b>5f. WORK UNIT NUMBER</b> M08R1000	
<b>7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">           Hardened Materials Branch (AFRL/MLPJ)            Survivability and Sensor Materials Division            Materials and Manufacturing Directorate            Wright-Patterson Air Force Base, OH 45433-7750            Air Force Materiel Command, United States Air Force            -----            Universal Technology Corporation            1270 North Fairfield Road            Dayton, OH 45432         </div> <div style="width: 45%;">           UES, Inc.            Dayton, OH 45432            -----            General Dynamics Information            Technology, Inc.            5100 Springfield Pike, Suite 509            Dayton, OH 45431         </div> </div>				<b>8. PERFORMING ORGANIZATION REPORT NUMBER</b> AFRL-ML-WP-TP-2007-523	
<b>9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)</b> Air Force Research Laboratory Materials and Manufacturing Directorate Wright-Patterson Air Force Base, OH 45433-7750 Air Force Materiel Command United States Air Force				<b>10. SPONSORING/MONITORING AGENCY ACRONYM(S)</b> AFRL/MLPJ	
				<b>11. SPONSORING/MONITORING AGENCY REPORT NUMBER(S)</b> AFRL-ML-WP-TP-2007-523	
<b>12. DISTRIBUTION/AVAILABILITY STATEMENT</b> Approved for public release; distribution unlimited.					
<b>13. SUPPLEMENTARY NOTES</b> Conference slideshow submitted to the Proceedings of the Third International Photorefractive Workshop.  The U.S. Government is joint author of this work and has the right to use, modify, reproduce, release, perform, display, or disclose the work. PAO Case Number: AFRL/WS 06-0322, 07 Feb 2006.					
<b>14. ABSTRACT</b> <ul style="list-style-type: none"> <li>High gain confirmed in off-axis geometries for Fe:KNbO<sub>3</sub></li> <li>Mismatch between theory and experiment for mid-range crystal angles, especially for the a-c plane</li> <li>Large apparent variation in the effective trap density with crystal angle</li> <li>Modified theory gives a good fit to experimental data</li> <li>Mechanism for trap density anisotropy is unclear</li> </ul>					
<b>15. SUBJECT TERMS</b> Photorefractive, Potassium Niobate, Two-Beam Coupling, Focal Plane					
<b>16. SECURITY CLASSIFICATION OF:</b>			<b>17. LIMITATION OF ABSTRACT:</b> SAR	<b>18. NUMBER OF PAGES</b> 20	<b>19a. NAME OF RESPONSIBLE PERSON (Monitor)</b> Dean R. Evans <b>19b. TELEPHONE NUMBER (Include Area Code)</b> N/A
<b>a. REPORT</b> Unclassified	<b>b. ABSTRACT</b> Unclassified	<b>c. THIS PAGE</b> Unclassified			

# Self-Pumped Photorefractive Reflection Gratings in $\text{Fe:KNbO}_3$



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## Outline



- Potassium niobate as a photorefractive
- Theory
- Off-axis geometries
- Experiments
- Results
- A controversial suggestion.....
- Discussion
- Conclusion (confusion?)





## Potassium niobate as a photorefractive



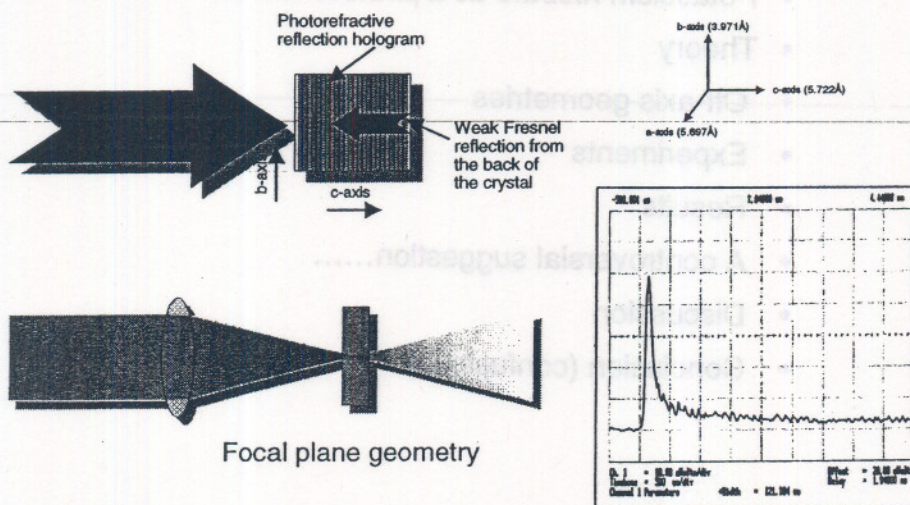
- High trap density
  - ↳ Allows efficient counter-propagating gratings to be written
- High sensitivity
  - ↳ Fast response times
- Broad spectral response
  - ↳ 400nm - ~700nm (with Fe doping)
  - ↳ 400nm - >700nm? (with Ni doping)
- Difficult to grow reproducibly
  - ↳ Program under way to fix this (looks very promising!)



## Experiment



- Self-pumped two beam coupling







## Theory



### • Optical fields

$$\left. \begin{aligned} E_s(z,t) &= \frac{1}{2} A_s(z,t) \exp i(-kz - \omega t) + c.c \\ E_p(z,t) &= \frac{1}{2} A_p(z,t) \exp i(+kz - \omega t) + c.c \end{aligned} \right\}$$

### • Intensity fringes

$$I(z,t) = (I_s + I_p) \left( 1 + \frac{A_s A_p^*}{I_s + I_p} \exp(2ikz) + c.c. \right)$$

$$\frac{dN_d^+}{dt} = s(I + I_{Dark})(N_d - N_d^+) - \gamma_r n N_d^+$$

$$\frac{dn}{dt} = \frac{dN_d^+}{dt} + \frac{1}{e} \frac{dJ}{dz}$$

$$J = e\mu n E_{sc} + \mu k_B T \frac{dn}{dz} + sI(N_d - N_d^+)e\delta$$

$$\epsilon_s \frac{dE_{sc}}{dz} = e(N_d^+ - N_a^- - n)$$

(Kiev group/Kukhtarev material equations)



$A_{s,p}$  are the slowly varying amplitudes of the electric fields



## Theory



• Solving the Kiev group/Kukhtarev's equations for the space charge field gives:

$$\frac{a}{\tau_{di}} \frac{\partial E_{sc}}{\partial t} + b E_{sc} + c m = 0$$

• Where:

$$m = \frac{\sqrt{I_s I_p}}{I_s + I_p + I_{Erasure}} \exp(-i\varphi),$$

$$a = 1 + \frac{E_d}{E_m} - i \frac{E_0}{E_m},$$

$$b = 1 + \frac{E_d}{E_q} - i \left( \frac{E_0 + (N_a / N_d) E_{pv}}{E_q} \right),$$

$$c = E_0 + E_{pv} + i E_d$$

$$\tau_{di} = \epsilon_s \gamma_r N_a / e \mu s (I_p + I_s + I_{Erasure})(N_d - N_a)$$

$$E_d = \frac{2\pi k_B T}{e\Lambda}$$

$$E_q = (1 - N_a / N_d) e N_a / 2k\epsilon_s$$

$$E_{pv} = \gamma_r N_a^- \delta / \mu$$

$$E_m = \gamma_r N_a / (\mu K)$$





## Theory



- The space charge field modifies the refractive index through the linear Pockels effect
- Substituting the modulated index into the optical wave equation gives the coupled equations for the intensities and phase:

$$\frac{\partial I_p}{\partial z} = -\alpha I_p - \frac{2\pi n^3 r_{eff}}{\lambda} \sqrt{I_p I_s} \operatorname{Im}(E_{sc} \exp(i\varphi))$$

$$\frac{\partial I_s}{\partial z} = +\alpha I_s - \frac{2\pi n^3 r_{eff}}{\lambda} \sqrt{I_p I_s} \operatorname{Im}(E_{sc} \exp(i\varphi))$$

$$\frac{\partial \varphi}{\partial z} = \frac{\pi n^3 r_{eff} (I_p - I_s)}{\lambda \sqrt{I_p I_s}} \operatorname{Re}(E_{sc} \exp(i\varphi))$$



## Theory



- In steady state the space charge field and coupled equations reduce to:

$$E_{sc}(z) = \frac{-(E_0 + iE_d + E_{pv})m(z)}{1 + \frac{E_d}{E_q} - i\left(\frac{E_0}{E_q} + \frac{N_a E_{pv}}{N_d E_q}\right)}$$

$$\frac{dI_p}{dz} = -\alpha I_p - \Gamma \frac{I_p I_s}{I_p + I_s + I_{Erasure}}$$

$$\frac{dI_s}{dz} = +\alpha I_s - \Gamma \frac{I_p I_s}{I_p + I_s + I_{Erasure}}$$

Where

$$\Gamma = \frac{2\pi}{\lambda} n^3 r_{eff} \operatorname{Im}(E_s)$$

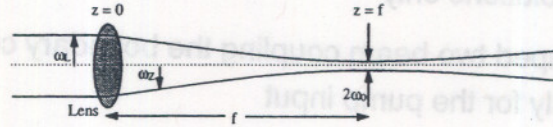




## Theory



### • Focusing



$$\frac{dI_p}{dx} = -\alpha I_p - \Gamma \frac{I_p I_s}{I_p + I_s + I_{\text{Erasure}}} - \frac{2(z-f)I_p}{z_R^2 + (z-f)^2}$$

$$\frac{dI_s}{dx} = +\alpha I_s - \Gamma \frac{I_p I_s}{I_p + I_s + I_{\text{Erasure}}} - \frac{2(z-f)I_s}{z_R^2 + (z-f)^2}$$



## Theory



### • Piezoelectric/photoelastic contributions<sup>1,2</sup>

$$r_{ij}^{\text{eff}} = r_{ijk}^S \hat{n}_k + p_{ijkl}^E \hat{n}_l A_{km}^{-1} B_m$$

$$A_{ik} = C_{ijkl}^E \hat{n}_j \hat{n}_l$$

$$B_i = e_{kij} \hat{n}_k \hat{n}_j$$

$r_{ijk}^S$  is the clamped EO tensor

$p_{ijkl}^E$  is the effective elasto-optic tensor

$C_{ijkl}^E$  is the elastic stiffness tensor

$e_{kij}$  is the piezoelectric tensor

### • Scalar effective EO coefficient:

$$r_{\text{eff}} = \hat{n}_P \cdot r_{ij}^{\text{eff}} \cdot \hat{n}_S$$

- 1) M. Zgonik, K. Nakagawa, P. Günter, "Electro-optic and dielectric properties of photorefractive BaTiO<sub>3</sub> and KNbO<sub>3</sub>", J. Optical Society of America B, vol. 12, no. 8, pp 1416-1421, 1995.
- 2) M. Zgonik, R. Schlessler, I. Biaggio, E. Voit, J. Tscherry, P. Günter, "Materials constants of KNbO<sub>3</sub> relevant for electro- and acousto-optics", J. Applied Physics, vol. 74, no. 2, pp 1287-1297, 1993.

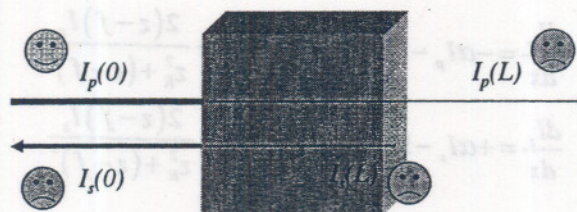




## Theory



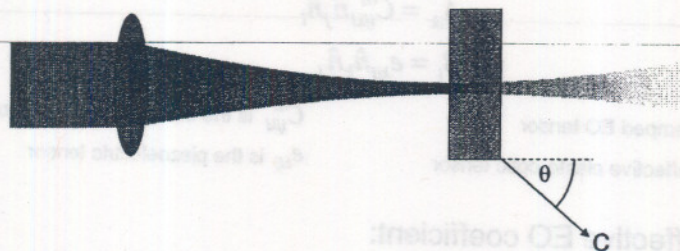
- No closed form solution to the coupled equations
- Numerical solutions only
- For self pumped two beam coupling the boundary conditions are known only for the pump input
- Iterative shoot and match methods are required



## Off-axis concept



- Optical gain is potentially much higher away from the c-axis



- c-axis is best for Fe:LiNbO<sub>3</sub> owing to the huge PV effect
- The same is NOT true for Fe:KNbO<sub>3</sub>



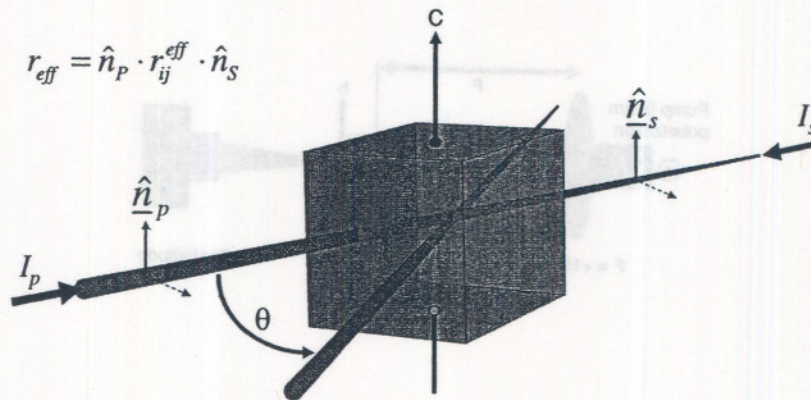


## Off-axis effective electro-optic coefficient



- Beam axis rotation about the c-axis:

$$r_{eff} = \hat{n}_p \cdot r_{ij}^{eff} \cdot \hat{n}_s$$



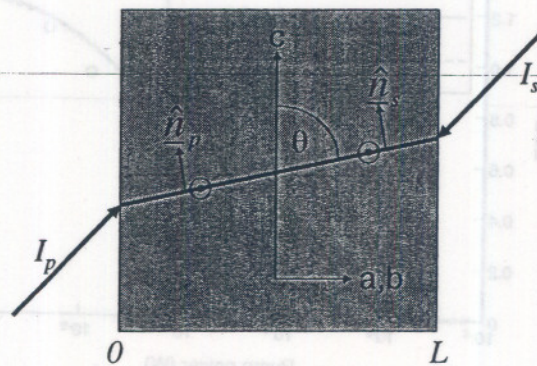
$r_{eff}$  is zero for all polarizations



## Off-axis effective electro-optic coefficient



- Beam axis rotation in the a-c or b-c planes:

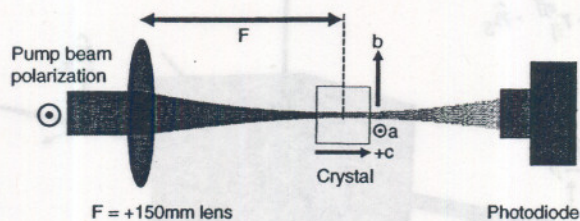


$$r_{eff} = \hat{n}_p \cdot r_{ij}^{eff} \cdot \hat{n}_s$$

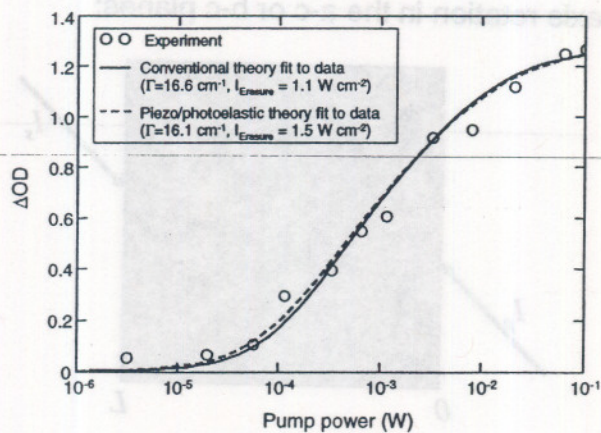




## Determination of $\Gamma$ and $I_{\text{Erasure}}$



## Determination of $\Gamma$ and $I_{\text{Erasure}}$



$$N_A (\text{conventional}) = 2.1 \times 10^{16} \text{ cm}^{-3}$$

$$N_A (\text{piezo}) = 5.0 \times 10^{16} \text{ cm}^{-3}$$

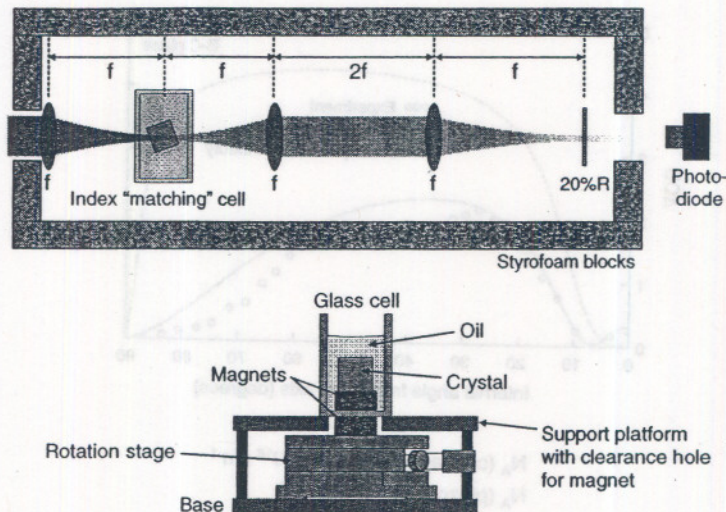




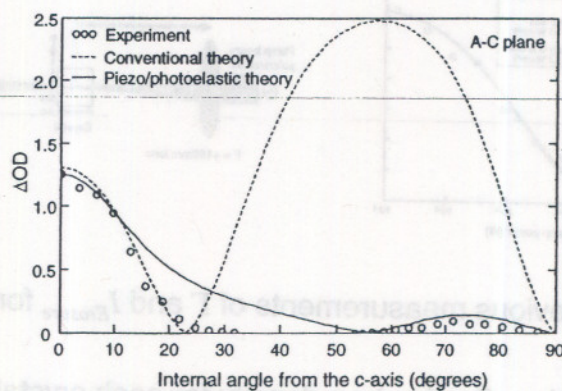
## Measuring the off-axis gain in contra-directional Fe:KNbO<sub>3</sub>



### • Experiment:



## Off-axis gain results



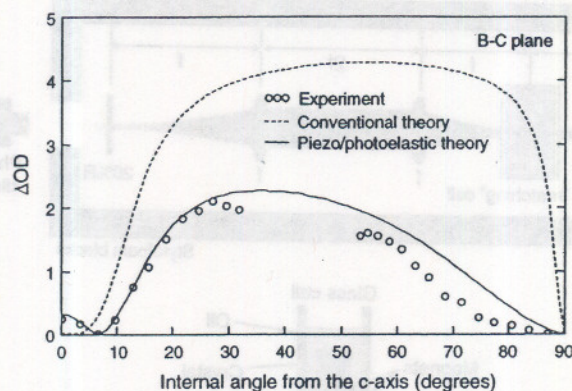
$$N_A (\text{conventional}) = 2.1 \times 10^{16} \text{ cm}^{-1}$$

$$N_A (\text{piezo}) = 5.0 \times 10^{16} \text{ cm}^{-1}$$





## Off-axis gain results

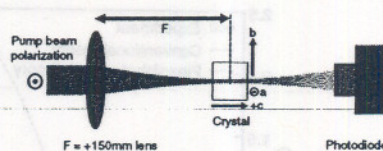
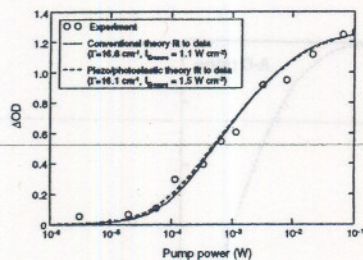


$$N_A (\text{conventional}) = 2.1 \times 10^{16} \text{ cm}^{-1}$$

$$N_A (\text{piezo}) = 5.0 \times 10^{16} \text{ cm}^{-1}$$



## A controversial suggestion....



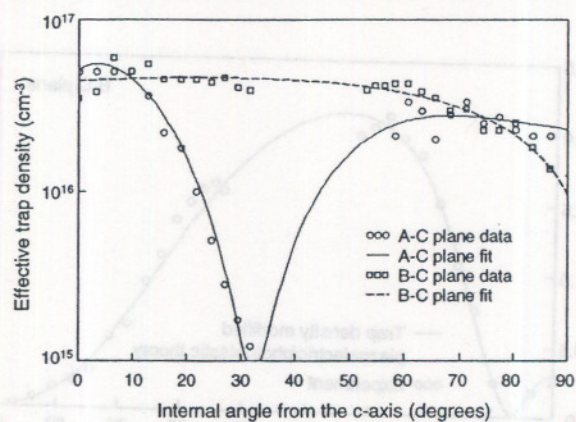
- Repeat previous measurements of  $\Gamma$  and  $I_{\text{Erasure}}$  for all crystal angles
- Calculate the effective trap density for each crystal angle

"Conventional" transmission/reflection grating method cannot be used reliably for trap density measurements owing to admittance angular restrictions at large crystal angles and competition from rear Fresnel reflection.





## Effective trap density variations?

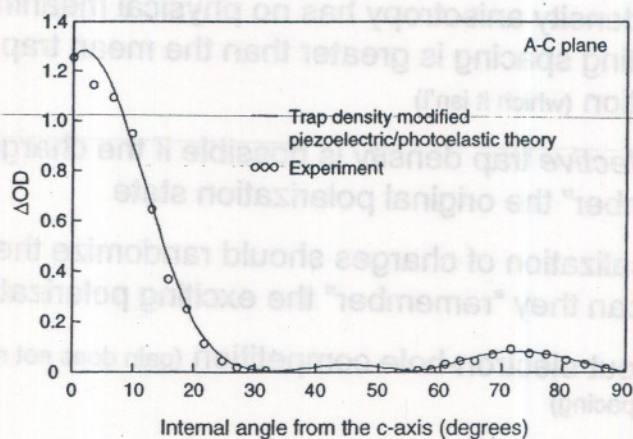


$$N_{A(AC)} = -9.09 \times 10^{12} \theta^6 + 3.03 \times 10^{15} \theta^5 - 3.85 \times 10^{17} \theta^4 + 2.26 \times 10^{19} \theta^3 - 5.67 \times 10^{20} \theta^2 + 3.21 \times 10^{21} \theta + 5 \times 10^{22}$$

$$N_{A(BC)} = -6 \times 10^{16} \theta^3 + 1 \times 10^{20} \theta + 4.42 \times 10^{22}$$



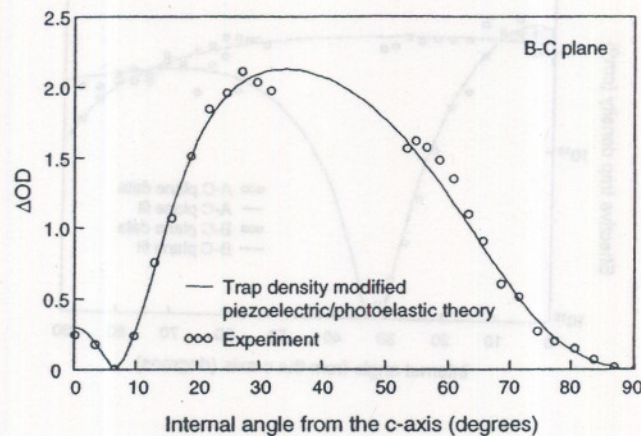
## Theory modified for apparent trap density variations







## Theory modified for apparent trap density variations



## Discussion



- Trap density anisotropy has no physical meaning unless the grating spacing is greater than the mean trap separation (which it isn't)
- An *effective* trap density is possible if the charges “remember” the original polarization state
- Delocalization of charges should randomize the charge state (can they “remember” the exciting polarization?)
- Rule out electron-hole competition (gain does not reverse with grating spacing)





## Summary



- High gain confirmed in off-axis geometries for  $\text{Fe:KNbO}_3$
- Mismatch between theory and experiment for mid-range crystal angles, especially for the a-c plane
- Large apparent variation in the effective trap density with crystal angle
- Modified theory gives a good fit to experimental data
- Mechanism for trap density anisotropy is unclear